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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. YOR920030530US1 CBLH/20140-00316-US	
		First Inventor Katherine Saeger et al.	
		Title FIELD EFFECT TRANSISTOR WITH ETCHED- BACK GATE DIELECTRIC	
		Express Mail Label No. _____	

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification [Total Pages 20] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] 5. Oath or Declaration [Total Sheets _____] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small> 17. <input type="checkbox"/> Other: _____	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number: 30678	OR <input type="checkbox"/> Correspondence address below
Name _____	
Address _____	
City _____	State _____ Zip Code _____
Country _____	Telephone _____ Fax _____

Name (Print/Type) Matthew J. Mason	Registration No. (Attorney/Agent) 44,904	
Signature <i>Matthew J. Mason</i>	Date 12/10/03	

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FEE TRANSMITTAL for FY 2004				Complete if Known																																																																																																																																																																																												
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4. SUBMITTED BY <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.8em;"> <thead> <tr> <th colspan="2"></th> <th colspan="2">(Complete if applicable)</th> </tr> </thead> <tbody> <tr> <td>Name (Print/Type)</td> <td>Matthew J. Mason</td> <td>Registration No. (Attorney/Agent)</td> <td>44,904</td> </tr> <tr> <td>Signature</td> <td></td> <td>Telephone</td> <td>(202) 331-7111</td> </tr> <tr> <td></td> <td></td> <td>Date</td> <td>12/10/03</td> </tr> </tbody> </table>						(Complete if applicable)		Name (Print/Type)	Matthew J. Mason	Registration No. (Attorney/Agent)	44,904	Signature		Telephone	(202) 331-7111			Date	12/10/03																																																																																																																																																																													
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December 10, 2003

Robert M. Trepp, Esq.
IBM Corporation
T.J. Watson Research Center
P.O. Box 218
Yorktown Heights, New York 10598

VIA FACSIMILE

(Enclosures mailed w/confirmation copy)

Re: New U.S. Patent Application
Title: FIELD EFFECT TRANSISTOR WITH ETCHED-BACK GATE
DIELECTRIC
Appl. Ser. No. Not Yet Assigned, filed December 10, 2003
Inventor: Saenger et al.
Your Ref: YOR920030530US1; Our Ref: 20140-00316

Dear Bob:

We are pleased to report the filing of the above-identified patent application in the U.S. Patent and Trademark Office on December 10, 2003. A copy of the application and its accompanied documents as filed are enclosed for your records.

Upon receipt of the notification of the unofficial application serial number and in anticipation of receiving a Notice to File Missing Parts, we shall prepare and forward to you an Associate Power of Attorney (for your signature) and a Declaration/Power of Attorney and an Assignment for execution by the inventors.

As you know there is a continuing duty of disclosure as imposed under U.S. law to disclose all known prior art and other information that may be considered "material to patentability". Copies of such information must be provided to the U.S. Patent and Trademark Office. Therefore, please send us copies or complete identifications (including dates of publication) if you have not already done so. We will then file an appropriate Supplemental Information Disclosure Statement in the U.S. Patent and Trademark Office.

Robert M. Trepp, Esq.
December 10, 2003
Page 2

As soon as we receive any communication from the U.S. Patent and Trademark Office you shall be notified. In the meantime, if you have any questions or concerns, please do not hesitate to contact us.

Sincerely,

CONNOLLY BOVE LODGE & HUTZ
LLP

A handwritten signature in black ink, appearing to read "Matthew J. Mason", written in a cursive style.

Matthew J. Mason

MJM/dst
Enclosures
cc: Katherine L. Saenger (Application)